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PATENT



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANTS: Chang, et al. EXAMINER: Ehichioya, Fred I.  
SERIAL NO.: 09/925,397 ART UNIT: 2172  
FILED: Aug. 9, 2001 DKT NO: YOR9-2001-0287  
(8728-514)  
TITLE: CONCEPT-BASED SYSTEM FOR REPRESENTING AND  
PROCESSING MULTIMEDIA OBJECTS WITH ARBITRARY  
CONSTRAINTS

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
MAR 22 2004  
Technology Center 2100

**AMENDMENT**

This paper is in response to the Office Action mailed on December 16, 2003. The Examiner is requested to enter and consider the following amendments and remarks.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on the date indicated below:

Date: 3/16/04

By:   
Frank Chau



Applicants: Chang e

Examiner: nichioya, Fred I. 2172

Serial No: 09/925,397

Group Art Unit: 2172

Filed: August 9, 2001

Docket: YOR9-2001-0287 (8727-514)

For: CONCEPT-BASED SYSTEM FOR REPRESENTING AND PROCESSING  
MULTIMEDIA OBJECTS WITH ARBITRARY CONSTRAINTS

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Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [X] No additional fee is required.


The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	19 MINUS 20	=	X 9 \$	X 18 \$ 0	
INDEP.	3 MINUS 3	=	X 43 \$	X 86 \$ 0	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X 145 \$	X 290 \$	
TOTAL				OR TOTAL	\$ 0
ADDIT. FEE					\$ 0

- \* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- [ ] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$ \_\_\_\_\_. Two (2) copies of this sheet are enclosed.
- [ ] A check in the amount of \$ \_\_\_\_\_ is enclosed.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

F. CHAU & ASSOCIATES, LLC  
1900 Hempstead Turnpike  
Suite 501  
East Meadow, NY 11554  
516-357-0091
  
 Frank Chau  
 Reg. No. 34,136  
 Attorney for Applicant

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service and any document referred to as being attached or enclosed) as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 16, 2004.

Dated: 3/16/04
  
 Frank Chau